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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

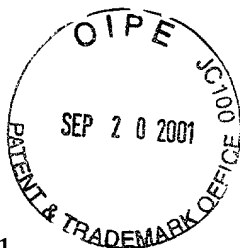
In re Application of:

Scott Fergusson

Serial No.: 09/917,120

Filed: July 27, 2001

For: METHODS AND SYSTEMS FOR ASSISTING FINANCIAL SERVICES FIRMS
AND THEIR REPRESENTATIVES



Examiner: Unknown

Group Art Unit: 2161

Docket No.: 1137.1101101

Assistant Commissioner for Patents
Washington, D.C. 20231

COMMUNICATION

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL855119505US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on this 20th day of September, 2001.

By

Jolene Alger

Dear Sir:

To formalize the above-captioned patent application, please find enclosed a copy of the Notice to File Corrected Application Papers, a Letter to the Official Draftsman, and twenty-eight sheets of formal drawings. Any inquiry regarding this matter may be directed to the undersigned representative.

Respectfully submitted,

Scott Fergusson

By his attorney,

Date: September 20, 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,120	07/27/2001	Scott Fergusson	1137.1101101

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CONFIRMATION NO. 2233

FORMALITIES LETTER



OC00000006529328

Date Mailed: 09/07/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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